



**RECONCILIATION OF SHARE CAPITAL AUDIT REPORT FOR THE QUARTER ENDED**

**30<sup>th</sup> September, 2019.**

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| 1. For Quarter Ended   | 30 <sup>th</sup> September, 2019                                       |
| 2. ISIN  | INE948E01014   |
| 3. Face Value  | Rs. 10/- (Rupees Ten Only)   |
| 4. Name of the Company   | Sophia Exports Limited   |
| 5. Registered Office Address   | 4973/201, Pahtak Badal Bage, Hauz Qazi, Delhi Central<br>Delhi- 110006 |
| 6. Correspondence Address  | 4973/201, Pahtak Badal Bage, Hauz Qazi, Delhi Central<br>Delhi- 110006 |
| 7. Telephone & Fax   | Tel: 011-43041400,<br>Fax: 011- 43012143                               |
| 8. E- Mail Address   | <a href="mailto:info@sofiaexport.com">info@sofiaexport.com</a>         |
| 9. Name of the Stock Exchange where<br>the Company's securities are Listed | Metropolitan Stock Exchange of India Limited                           |

	<b>Number of Shares</b>	<b>% of Total Capital issued</b>
10. Issued Capital	38535000	98.80%
11. Listed Capital	38535000	98.80%



44-A/E- Block Extension, Shyam Vihar Phase-1 New Delhi-110043

E-Mail: csguptayash@gmail.com, Phone: 9582088097

12. Held in Dematerialized Form in CDSL 560000 1.45%
13. Held in Dematerialized Form in NSDL Nil Nil
14. Physical 37975000 98.56%
15. Total Number of Shares (12+13+14) 38535000 100%
16. Reason of Difference (if any), between (10 & 11) (10 & 15) (11 & 15) Not Applicable

17. Certifying the details of changes in Share Capital during the quarter under consideration as per table below:

Particulars	No. of Shares	Applied/Not Applied for Listings	Listed on Stock Exchange (Specify Name)	Whether intimated to CDSL	Whether intimated to NSDL	In-prin, Approval Pending for stock exchange (Specify Name)
			MSEIL			

18. Register of Members is Updated (Yes/No) Yes
19. Reference of Previous Quarter with regard to excess dematerialized shares, if any N.A.
20. Has the Company resolved the matter mentioned in point No. 19 above in the current quarter? If not, reason why? Not Applicable
21. Mentioned the total no. of requests, if any, confirmed after 21 days and the total no. of requests pending beyond 21 days with the reason for delay:

Total No. of Demat Requests	No. of Requests	No. of Shares	Reasons of Delay
Confirmed after 21 Days	-----	-----	-----
Pending for more than 21 days	-----	-----	-----



44-A/E- Block Extension, Shyam Vihar Phase-1 New Delhi-110043

E-Mail:csguptayash@gmail.com, Phone:9582088097

22. Name, Telephone & Fax No. of Compliance Officer of the Company  
**Priyanka Kumari**  
**Company Secretary**  
Telephone: 011-43041455  
Fax No.: 011- 43012143
23. Name, Address, Tel & Fax No. Registration No. of the Certifying CS  
Yashwant Gupta  
Membership No. 15154  
Certificate of Practice No. 15119  
44- A/E Block Extension, Shyam Vihar, Phase I,  
New Delhi-110043
24. Appointment of Common Agency for Share Registry Work:  
Yes: ABS CONSULTNATS PRIVATE LIMITED  
Securities and Exchange Board of India Registration No.  
INR000001286  
CIN: U74140WB1991PTC053081  
4 B B D BAG (EAST), STEPHEN HOUSE, R.NO.99,  
6TH FLOOR, KOLKATA WB 700001 IN  
Contact No.09339384226  
Email:absconsultant@vsnl.net
25. Any Other details that the Auditor may like to provide e.g. BIFR Company, Delisting from Stock Exchange etc. Nil

Date: 14/10/2019  
Place: Delhi

**For YASHAWANT GUPTA & ASSOCIATES**  
Practising Company Secretaries



Yashawant Kumar Gupta  
(Prop)  
M.NO. – 15154  
C.P. No. 15119

UDIN NO.: **A015154A000087556**

**YASHWANT GUPTA & ASSOCIATES**

Company Secretaries



**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE  
BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS)  
REGULATIONS, 2015  
FOR THE HALF YEAR ENDED 30<sup>TH</sup> SEPTEMBER, 2019**

**TO WHOMSOEVER IT MAY CONCERN**

We have examined all share transfer deeds, memorandum of transfers, registers, files, and other documents relating to Sophia Exports Limited having its registered office at 4973/201, Phatak Badel Bage, Hauz Qazi, Delhi-110006, maintained by ABS CONSULTANTS PRIVATE LIMITED, the registrar and share transfer of the Company pertaining to transfer to equity shares of the Company for the half year ended 30<sup>TH</sup> September, 2019 for the purpose of issuing certificate under 40(9) of Securities And Exchange Board Of India (Listing Obligations And Disclosure Requirements) Regulations, 2015 (for the period 01st April 2019 to 30<sup>th</sup> September, 2019) and based on our examination as well as information and explanations furnished to us which to the best of our knowledge and belief were necessary for the purpose of our certification, we hereby certify that during the half year ended 30<sup>TH</sup> September, 2019, the Company:

- a. Was not required to deliver any share certificates as it has not received any request for transfer, subdivision, consolidation, renewal, exchange, or endorsement of calls/allotment monies.

Date: 14/10/2019  
Place: Delhi

**For YASHWANT GUPTA & ASSOCIATES**  
Practising Company Secretaries



Yashwant Kumar Gupta  
(Prop.)  
M.NO. - 15154  
C.P. No. 15119

**UDIN NO. : A015154A000087479**